



The Wealth Creator

STAR Finvest Private Limited

MEMBER : NSE, BSE, MCX, NCDEX
DEPOSITORY PARTICIPANT - NSDL
Regd. Office : H. No.: 410, Sector-31, Gurugram, Haryana-122001
Corp. Office : 311-314, Arunachal Building, 19, Barakhamba Road, Connaught Place, New Delhi-110001
Phone : 43070700 (30 LINE) FAX : 011-23351305 E-mail : star@starfinvest.in, Website : www.starfinvest.in
CIN : U67120HR1999PTC053250

ADDENDUM TO POLICIES AND PROCEDURES

The all policies and procedures of Star Finvest Private Limited applicable in NSE and BSE will also be applicable for MCX & NCDEX, as we have integrated our business under one entity Star Finvest Private Limited. Further, Mr. Rajesh Sehgal is the Compliance Officer for MCX & NCDEX.

For Star Finvest Private Limited

(Surender Kumar Gupta)
Director



Date: 01-04-2018

Company Profile

Star Finvest Pvt. Ltd.

The wealth Creator

STAR FINVEST PVT. LTD.

.....*The Wealth Creator*

STAR Finvest Pvt.Ltd., a member of National Stock Exchange of India Ltd., began its operations in August, 1999 and in a short period it has become a well known broking firm in North India by providing services of Depository Participant, internet trading facility and trading in Option & Future. The company was promoted by Mr.S.K.Gupta, FCA, FCS and Grad.CWA. By virtue of his World of experience in the capital market, immaculate trading skill, professional qualifications and thorough understanding of the market, Mr.S.K.Gupta gave the company a unique dimension of benefits to one and all involved in the journey with the company.

Vision & mission of the company

The company carries a strong vision of becoming a major player in the financial services sector with a mission of imparting transparency and integrity to the system. The risk is a major issue in the investment plan. Star Finvest will be always looking out for ways and means to minimize the risk element in the strategy. Star Finvest aspires to be known as a reliable, intelligent and honest intermediaries in the financial services sector.

Human Resources of the company

The company always accords maximum emphasis on human intellect and experience which are the drivers of the company. The spirit of teamwork, experience and professional qualifications have always been very handy for the company to adjust to the changing situations and face new challenges. To be always up on the frontier of knowledge, the key persons of the company Mr.S.K.Gupta, Director and Mr. Vijay Kumar Bhatia both finance professionals try to constantly sharpen the intellectual edges of the company, to be focussed and articulate. Star has achieved a stupendous growth(our Annual Report is conveying our growth).

Key persons of the company:

1.Mr.S.K.Gupta, Director, FCA,FCS,GradICWA

2.Mr.Alok Garg, Director

3. Mr. Vijay Kumar Bhatia, Company Secretary

4.Mr. K Ramesh Achar, President(Research)

5.Mr.Ajay Goel, Manager (Finance)

6.Mr.Jagbir Singh,Manager(Market Operations)

Equity Trading :

With the membership of NSE,BSE and MCX-SX , the stock broking has the core business of the company. Currently the company branches in Noida and Ghaziabad and dozen of business associates spread across NCR. The company intends to set up its own branches in other cities also with the market sentiment improving. Along with the trading systems, the BackOffice is fully computerized and integrated.

Institutional Empanelments :

1. Punjab National Bank.
2. Punjab and Sind Bank.

Futures & Options :

The company has welcome the introduction of derivative trading during 2001-2002 and intends to educate the new generation investors to get into derivative trading which has been highly recognized and appreciated in the developed capital markets. The F&O Market is being used by our HNI Client as hedging mechanism to manage the volatility and risk in the market.

Portfolio Management for HNIs :

Extending our expertise in personal financial advisory services. Personalized portfolios designed to fit a specific investors, each portfolio contains individually selected securities, which are not commingled (pooled) with those of other investors, ensuring transparency & flexibility in operations. We have recently obtained PMS certificate from SEBI. We are planning to launch PMS schemes shortly.

Research & Analysis :

Research is the fundamental ingredient of all sound investments decisions. The division also has a comprehensive research cell with sectoral analysis covering all major areas of the Indian economy. Our research division is well equipped with corporate database, related information about companies . Sector -wise research of the companies and their detailed information. The research team is constantly in touch with views of other brokerage houses through independent service providers.

Internet Trading :

As the internet trading is likely to catch some fancy among the technology-savvy executives, the company is also providing internet trading facilities through Now(An Internet Trading software provided by NSE)

Depository Services :

Keeping the necessity of having its own DP services and providing one-stop investment services, the company has become a member of NSDL and CDSL Thus the company is well geared to steer the company along the path of sustained growth and prosperity. Depository Services Customers who open a depository account with STAR can avail themselves

of the following services:

- Dematerialize securities held in the form of physical share certificates and convert the same to electronic holdings in the depository account. .
- Transact in securities by way of electronic transfer by authorizing debit/credit instructions.
- Lend/borrow securities through an 'approved intermediary', registered with Securities and Exchange Board of India (SEBI).
- Pledge/hypothecate securities through the depository account.
- Collect non-monetary corporate benefits (bonus/rights) declared by issuers as direct credits to the depository account.
- Subscribe for IPOs and get direct credits into the depository account
- The SPEEDe facility is also available for the clients for easy and hassle free transactions without the need of issuing and deposit of instructions leafs.

Debt Market :

The company gives investment advises on debt instruments to all types of investors which includes corporates, Provident fund Trusts, Gratuity fund Trusts, NBFCs Cooperative Banks, Educational societies, NRIs, HNIs, and other investors. Debt instruments includes GOI Loans, State loans, SLR and Non-SLR bonds, financial Institutions bonds, PSU bonds, Tax-free bonds, Debentures, fixed deposit, mutual funds and other instruments.

Client base of the company:

The Company has come a long way to catering to the needs of the following alongside the vast retail investors base :

- a. Corporates**
- b. High Networth Clients**
- c. Sub-brokers/Business associates**
- d. Financial Institutions**

Star Finvest, in short, is a complete Investment Advisory and Financial Services Organization.